

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76) AND POWER OF ATTORNEY**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 09/867,745 filed on May 31, 2001,
☐ as amended on _____ (if applicable);

I/We believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/We acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

I/We hereby appoint:

Practitioners at Customer Number 22204 as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

FULL NAME OF INVENTOR(S)

Inventor one: Bijan TADAYON Citizen of: USA

Signature: _____ Date: _____

Inventor two: Aram NAHIDIPOUR Citizen of: USA

Signature: _____ Date: _____

Inventor three: Xin WANG Citizen of: USA

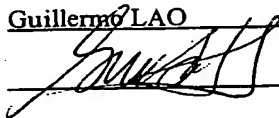
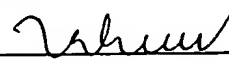
Signature:  Date: 7/01/01

Inventor four: Michael C. RALEY Citizen of: USA

Signature:  Date: 6-19-01

☒ Additional inventors are being named on Sheet 2 of the additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Inventor five:	<u>Guillermo LAO</u>	Citizen of:	<u>USA</u>
Signature:		Date:	<u>6/19/01</u>
Inventor six:	<u>Thanh T. TA</u>	Citizen of:	<u>AUSTRALIA</u>
Signature:		Date:	<u>6/19/01</u>
Inventor seven:	<u>Charles P. GILLIAM</u>	Citizen of:	<u>USA</u>
Signature:		Date:	
Inventor eight:		Citizen of:	
Signature:		Date:	
Inventor nine:		Citizen of:	
Signature:		Date:	
Inventor ten:		Citizen of:	
Signature:		Date:	
Inventor eleven:		Citizen of:	
Signature:		Date:	
Inventor twelve:		Citizen of:	
Signature:		Date:	
Inventor thirteen:		Citizen of:	
Signature:		Date:	
Inventor fourteen:		Citizen of:	
Signature:		Date:	
Inventor fifteen:		Citizen of:	
Signature:		Date:	

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76) AND POWER OF ATTORNEY**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 09/867,745 filed on May 31, 2001,
☐ as amended on _____ (if applicable);

I/We believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/We acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

I/We hereby appoint:

Practitioners at Customer Number 22204 as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

FULL NAME OF INVENTOR(S)

Inventor one: Bijan TADAYON Citizen of: USA

Signature: _____ Date: _____

Inventor two: Aram NAHIDIPOUR Citizen of: USA

Signature: *Aram Nahidi* Date: 7/6/2001

Inventor three: Xin WANG Citizen of: USA

Signature: _____ Date: _____

Inventor four: Michael C. RALEY Citizen of: USA

Signature: _____ Date: _____

☒ Additional inventors are being named on Sheet 2 of the additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

BEST AVAILABLE COPY

Inventor five:	<u>Guillermo LAO</u>	Citizen of: <u>USA</u>
Signature:	_____	Date: _____
Inventor six:	<u>Thanh T. TA</u>	Citizen of: <u>AUSTRALIA</u>
Signature:	_____	Date: _____
Inventor seven:	<u>Charles P. GILLIAM</u>	Citizen of: <u>USA</u>
Signature:	_____	Date: _____
Inventor eight:	_____	Citizen of: _____
Signature:	_____	Date: _____
Inventor nine:	_____	Citizen of: _____
Signature:	_____	Date: _____
Inventor ten:	_____	Citizen of: _____
Signature:	_____	Date: _____
Inventor eleven:	_____	Citizen of: _____
Signature:	_____	Date: _____
Inventor twelve:	_____	Citizen of: _____
Signature:	_____	Date: _____
Inventor thirteen:	_____	Citizen of: _____
Signature:	_____	Date: _____
Inventor fourteen:	_____	Citizen of: _____
Signature:	_____	Date: _____
Inventor fifteen:	_____	Citizen of: _____
Signature:	_____	Date: _____

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND POWER OF ATTORNEY

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
- ☒ Application No. 09/867,745 filed on May 31, 2001,
- ☐ as amended on _____ (if applicable);

I/We believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/We acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/own knowledge are true; all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

I/We hereby appoint:

Practitioners at Customer Number 22204 as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

FULL NAME OF INVENTOR(S)

Inventor one: Bijan TADAYON Citizen of: USA

Signature: Bijan Tadayon Date: JUNE-22-2001

Inventor two: Aram NAHIDIPOUR Citizen of: USA

Signature: _____ Date: _____

Inventor three: Xin WANG Citizen of: USA

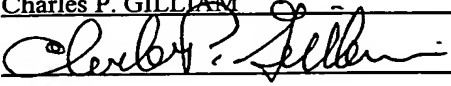
Signature: _____ Date: _____

Inventor four: Michael C. RALEY Citizen of: USA

Signature: _____ Date: _____

☒ Additional inventors are being named on Sheet 2 of the additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Inventor five:	<u>Guillermo LAO</u>	Citizen of:	<u>USA</u>
Signature:	_____	Date:	_____
Inventor six:	<u>Thanh T. TA</u>	Citizen of:	<u>AUSTRALIA</u>
Signature:	_____	Date:	_____
Inventor seven:	<u>Charles P. GILLIAM</u>	Citizen of:	<u>USA</u>
Signature:	<u></u>	Date:	<u>6/22/2001</u>
Inventor eight:	_____	Citizen of:	_____
Signature:	_____	Date:	_____
Inventor nine:	_____	Citizen of:	_____
Signature:	_____	Date:	_____
Inventor ten:	_____	Citizen of:	_____
Signature:	_____	Date:	_____
Inventor eleven:	_____	Citizen of:	_____
Signature:	_____	Date:	_____
Inventor twelve:	_____	Citizen of:	_____
Signature:	_____	Date:	_____
Inventor thirteen:	_____	Citizen of:	_____
Signature:	_____	Date:	_____
Inventor fourteen:	_____	Citizen of:	_____
Signature:	_____	Date:	_____
Inventor fifteen:	_____	Citizen of:	_____
Signature:	_____	Date:	_____



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

OCTOBER 01, 2001

PTAS



101795055A

NIXON PEABODY LLP
MARC S. KAUFMAN
8180 GREENSBORO DRIVE
SUITE 800
MCLEAN, VA 22102

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/25/2001

REEL/FRAME: 012015/0276
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
TADAYON, BIJAN

DOC DATE: 06/07/2001

ASSIGNOR:
NAHIDIPOUR, ARAM

DOC DATE: 07/06/2001

ASSIGNOR:
WANG, XIN

DOC DATE: 06/20/2001

ASSIGNOR:
RALEY, MICHAEL C.

DOC DATE: 06/19/2001

ASSIGNOR:
LAO, GUILLERMO

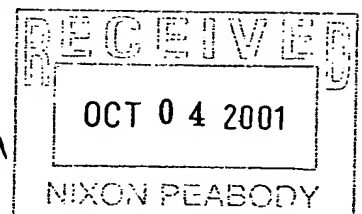
DOC DATE: 06/19/2001

ASSIGNOR:
TA, THANH T.

DOC DATE: 06/19/2001

ASSIGNOR:
GILLIAM, CHARLES P.

DOC DATE: 06/22/2001



012015/0276 PAGE 2

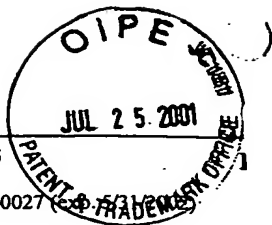
ASSIGNEE:

CONTENTGUARD HOLDINGS, INC.
103 FOULK ROAD
SUITE 200-M
WILMINGTON, DELAWARE 19803

SERIAL NUMBER: 09867745
PATENT NUMBER:

FILING DATE: 05/31/2001
ISSUE DATE:

TONYA LEE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



08-01-2001

D#

Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027



101795055

U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

Docket No. 111325-66

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Bijan TADAYON, Aram NAHIDIPOUR, Xin WANG,
Michael C. RALEY, Guillermo LAO, Thanh T. TA,
Charles P. GILLIAM

07/25/01

2. Name and address of receiving party(ies)

Name: ContentGuard Holdings, Inc.

Internal Address: _____

Street Address: 103 Foulk RoadSuite 200-MCity: Wilmington State: DE Zip: 19803

Country: _____ Postal Code: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ NoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: June 22, 2001; July 6, 2001; June 20,
2001; June 19, 2001

4. Application number(s) or patent number(s);

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No. 09/867,745

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Marc S. KaufmanInternal Address: Nixon Peabody LLPStreet Address: 8180 Greensboro Drive,Suite 800City: McLean State: VA Zip: 221026. Total number of applications and patents involved: ☐7. Total fee (37 CFR 3.41)..... \$40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

19-2380

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Marc S. Kaufman

Name of Person Signing

Signature

July 25, 2001

Date

Total number of pages including cover sheet, attachments, and documents: 04

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

07/31/2001 TDI A21 00000120 09867745

01 FC:581

40.00 OP

ASSIGNMENT

DOCKET NO. 111325-66

The undersigned is/are the named inventor(s) (th "Inventor(s)") on a United States patent application entitled **Method And Apparatus For Dynamically Assigning Usage Rights To Digital Works** (th "Application") for inventions disclosed or claimed therein (the "Invention(s)"), which Application:

- ☐ is being executed concurrently herewith,
☐ was first executed on
☒ was filed on **May 31, 2001** as Application Number **09/867,745**.
☐ claims the benefit of U.S. Provisional Application(s) No(s). / filed

ContentGuard Holdings, Inc., a Delaware Corporation, on behalf of itself, its successors and assigns, and its legal representatives ("ContentGuard"), is entitled to all rights in the Application and the Invention(s), and the full cooperation of the Inventor(s).

For valuable consideration, the receipt of which is hereby acknowledged, the Inventor(s) hereby sell(s), assign(s), and transfer(s) to ContentGuard the entire and exclusive right, title and interest in the Application and the Invention(s), in and for the United States, its territories, and all foreign countries, including all applications, patents, design registrations and publications obtained or derived therefrom, world wide, and all priority rights under all present or future conventions and treaties, and any provisional applications for which priority is claimed in the Application; and

- (1) request the U.S. Commissioner of Patents to issue all U.S. Letters Patent granted thereon to ContentGuard;
- (2) agree that ContentGuard may apply for and receive all foreign Letters Patent thereon;
- (3) agree to execute all papers and take all actions necessary or desirable in connection therewith requested by ContentGuard; and
- (4) authorize ContentGuard to subsequently enter the Application Number on this Assignment, if not already entered above.

Bijan TADAYON

Bijan Tadayon

Date JUNE 22 - 2001

Aram NAHIDIPOUR

Date _____

Xin WANG

Date _____

Michael C. RALEY

Date _____

Guillermo LAO

Date _____

Thanh T. TA

Date _____

Charles P. GILLIAM

Charles P. Gilliam

Date 6/22/2001

BEST AVAILABLE COPY

ASSIGNMENT

DOCKET NO. 111325-66

The undersigned is/are the named inventor(s) (the "Inventor(s)") on a United States patent application entitled ***Method And Apparatus For Dynamically Assigning Usage Rights To Digital Works*** (the "Application") for inventions disclosed or claimed therein (the "Invention(s)"),

which Application:

- ☐ is being executed concurrently herewith,
- ☐ was first executed on
- ☒ was filed on **May 31, 2001** as Application Number **09/867,745**.
- ☐ claims the benefit of U.S. Provisional Application(s) No(s). / filed

ContentGuard Holdings, Inc., a Delaware Corporation, on behalf of itself, its successors and assigns, and its legal representatives ("ContentGuard"), is entitled to all rights in the Application and the Invention(s), and the full cooperation of the Inventor(s).

For valuable consideration, the receipt of which is hereby acknowledged, the Inventor(s) hereby sell(s), assign(s), and transfer(s) to ContentGuard the entire and exclusive right, title and interest in the Application and the Invention(s), in and for the United States, its territories, and all foreign countries, including all applications, patents, design registrations and publications obtained or derived therefrom, world wide, and all priority rights under all present or future conventions and treaties, and any provisional applications for which priority is claimed in the Application; and

- (1) request the U.S. Commissioner of Patents to issue all U.S. Letters Patent granted thereon to ContentGuard;
- (2) agree that ContentGuard may apply for and receive all foreign Letters Patent thereon;
- (3) agree to execute all papers and take all actions necessary or desirable in connection therewith requested by ContentGuard; and
- (4) authorize ContentGuard to subsequently enter the Application Number on this Assignment, if not already entered above.

Bijan TADAYON

Aram NAHIDIPOUR

Xin WANG

Michael C. RALEY

Guillermo LAO

Thanh T. TA

Charles P. GILLIAM

Date _____

Date 7/6/2001

Date _____

Date _____

Date _____

Date _____

Date _____

BEST AVAILABLE COPY

ASSIGNMENT

DOCKET NO. 111325-66

The undersigned is/are the named inventor(s) (the "Inventor(s)") on a United States patent application entitled *Method And Apparatus For Dynamically Assigning Usage Rights To Digital Works* (the "Application") for inventions disclosed or claimed therein (the "Invention(s)"),

which Application:

- ☐ is being executed concurrently herewith,
☐ was first executed on
☒ was filed on May 31, 2001 as Application Number 09/867,745.
☐ claims the benefit of U.S. Provisional Application(s) No(s). / filed

ContentGuard Holdings, Inc., a Delaware Corporation, on behalf of itself, its successors and assigns, and its legal representatives ("ContentGuard"), is entitled to all rights in the Application and the Invention(s), and the full cooperation of the Inventor(s).

For valuable consideration, the receipt of which is hereby acknowledged, the Inventor(s) hereby sell(s), assign(s), and transfer(s) to ContentGuard the entire and exclusive right, title and interest in the Application and the Invention(s), in and for the United States, its territories, and all foreign countries, including all applications, patents, design registrations and publications obtained or derived therefrom, world wide, and all priority rights under all present or future conventions and treaties, and any provisional applications for which priority is claimed in the Application; and

- (1) request the U.S. Commissioner of Patents to issue all U.S. Letters Patent granted thereon to ContentGuard;
- (2) agree that ContentGuard may apply for and receive all foreign Letters Patent thereon;
- (3) agree to execute all papers and take all actions necessary or desirable in connection therewith requested by ContentGuard; and
- (4) authorize ContentGuard to subsequently enter the Application Number on this Assignment, if not already entered above.

Bijan TADAYON

Date _____

Aram NAHIDIPOUR

Date _____

Xin WANG

Date 6-20-01

Michael C. RALEY

Date 6-19-01

Guillermo LAO

Date 6/19/01

Thanh T. TA

Date 6/19/01

Charles P. GILLIAM

Date _____

BEST AVAILABLE COPY